

### NAWROTH

### **DATA PROTECTION INFORMATION**

Status: 1 November 2024

### 1. **DATA PROTECTION**

1.1 This information on data protection applies to data processing by the law firm:

Nawroth Healthcare Law & Litigation Lawyer Dr Claudia Nawroth Girardet House Königsallee 27 40212 Düsseldorf T + 49 211 238 55 200 F + 49 211 238 55 250 cn@nawroth-legal.com

# 2. NAME AND CONTACT DETAILS OF THE DATA CONTROLLER

We, Nawroth Healthcare Law & Litigation, Rechtsanwältin Dr Claudia Nawroth, are responsible for the personal data we collect. The responsible contact person is Dr Claudia Nawroth, attorney at law, cn@nawroth-legal.com.

# 3. COLLECTION AND STORAGE OF PERSONAL DATA AND THE NATURE, PURPOSE AND USE OF SUCH DATA

- 3.1 When you instruct us, we collect the following information:
  - Title, first name, surname,
  - a valid e-mail address,
  - Address,
  - Telephone number (landline and/or mobile)
  - Information necessary for the assertion and defence of your rights within the scope of the mandate
- 3.2 This data is collected
  - to examine the presentation of a conflict of interest and to determine

the beneficial owners, insofar as we are obliged to do so in accordance with the regulations on the prevention of money laundering,

- to be able to identify you as our client;
- to be able to provide you with appropriate legal advice and representation;
- for correspondence with you;
- for invoicing;
- for the settlement of any liability claims and the assertion of any claims against you.
- 3.3 The data processing takes place on the basis of our assignment by you or on the basis of your enquiry. It is necessary for the purposes mentioned for the appropriate processing of the mandate and for the mutual fulfilment of obligations arising from the mandate agreement (Art. 6 para. 1 sentence 1 lit. b GDPR).
- 3.4 Your data is stored or collected both digitally (in our document management system DMS) and in paper form.
  - The personal data collected by us for the mandate will be stored until the end of the statutory retention period for lawyers (6 years after the end of the calendar year in which the mandate was terminated) and then deleted. Anything else only applies if we are obliged to store/keep your data for a longer period of time due to other legal provisions (e.g. the German Fiscal Code, the Money Laundering Act, etc.) (Art. 6 para. 1 sentence 1 lit. c GDPR) and/or you have consented to a longerterm storage/retention of your data or have requested us to do so (Art. 6 para. 1 sentence 1 lit. a GDPR), for example with regard to a later continuation of our client

3.5



### **NAWROTH**

relationship.

# 4. DISCLOSURE OF DATA TO THIRD PARTIES

- 4.1 Your personal data will not be transferred to third parties for purposes other than those listed below.
- 4.2 Insofar as this is necessary in accordance with Art. 6 para. 1 sentence 1 lit. b GDPR for the processing of client relationships with you, your personal data will be passed on to third parties. This includes in particular the disclosure to opposing parties and their representatives (in particular their lawyers) as well as courts and other public authorities for the purpose of correspondence and for the assertion and defence of your rights. The data passed on may only be used by the third party for the stated purposes.
- The attorney-client privilege remains 4.3 unaffected. Insofar as data is concerned that is subject to attorney-client privilege, it will only be passed on to third parties in consultation with you. Those employees of our law firm who come into contact with your data are, like ourselves, subject to a strict confidentiality obligation, compliance with which we constantly monitor. Other persons with whom we work and who come or could come into contact with your data have also been or will be obliged by us in writing to maintain confidentiality and - as they have also been or will be expressly informed - will themselves be liable to prosecution in the event of a breach

### 5. RIGHTS OF DATA SUBJECTS

### 5.1 You have the right:

in accordance with Art. 7 para. 3
 GDPR, to revoke your consent once
 given to us at any time. As a result, we
 may no longer continue the data

- processing that was based on this consent in the future;
- to request information about your personal data processed by us in accordance with Art. 15 GDPR. In particular, you can request information about the processing purposes, the category of personal data, the categories of recipients to whom your data has been or will be disclosed, the planned storage period, the existence of a right to rectification, erasure, restriction of processing or objection, existence of a right to lodge a complaint, the origin of your data if it was not collected by us, and the existence of automated decisionmaking including profiling and, if applicable, meaningful information about its details;
- in accordance with Art. 16 GDPR, to immediately request the correction of incorrect or incomplete personal data stored by us;
- to demand the erasure of your personal data stored by us in accordance with Art. 17 GDPR, unless the processing is necessary for exercising the right of freedom of expression and information, for compliance with a legal obligation, for reasons of public interest or for the establishment, exercise or defence of legal claims;
- in accordance with Art. 18 GDPR, to demand the restriction of the processing of your personal data if the accuracy of the data is disputed by you, the processing is unlawful but you refuse to delete it and we no longer need the data, but you need it for the assertion, exercise or defence

## **NAWROTH**

of legal claims or you have lodged an objection to the processing in accordance with Art. 21 GDPR;

- in accordance with Art. 20 GDPR, to receive your personal data that you have provided to us in a structured, commonly used and machinereadable format or to request that it be transmitted to another controller, and
- to lodge a complaint with a supervisory authority in accordance with Art. 77 GDPR. As a rule, you can contact the supervisory authority at your usual place of residence or workplace or at the registered office of our law firm.

### 6. RIGHT OF OBJECTION

- 6.1 If your personal data is processed on the basis of legitimate interests in accordance with Art. 6 para. 1 sentence 1 lit. f GDPR, you have the right to object to the processing of your personal data in accordance with Art. 21 GDPR, provided that there are reasons for this arising from your particular situation.
- 6.2 If you wish to exercise your right to object, simply send an e-mail to cn@nawroth-legal.com.